

TRANSMITTAL LETTER			Case No. 6270/105
Serial No. 10/752,467	Filing Date January 5, 2004	Examiner Unassigned	Group Art Unit Unassigned
Inventor(s) Ransom et al.			
Title of Invention TECHNIQUES FOR SECURING ENERGY MANAGEMENT SYSTEMS			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Information Disclosure Statement; Form PTO-1449; Postcard receipt.

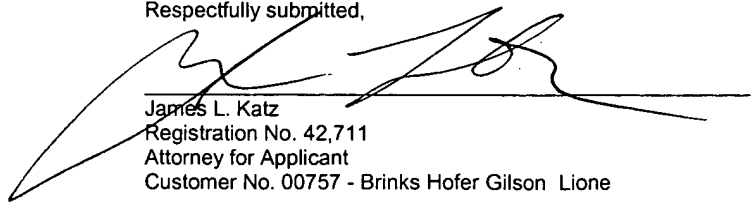
- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 43=			x \$86=	
+\$145=			+ \$290=	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

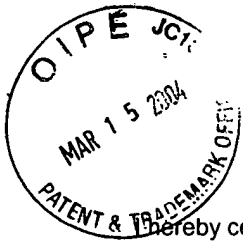

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Date of Deposit

James L. Katz, (Reg. No. 42,711)

Name of Applicant, Assignee or
Registered Representative

Signature

3-10-04

Date of Signature

Our Case No.: 6270/105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ransom et al.

Serial No.: 10/752,467

Filing Date: January 5, 2004

For: TECHNIQUES FOR SECURING
ENERGY MANAGEMENT
SYSTEMS

Examiner: Unassigned

Group Art Unit No.: Unassigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

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David Mueller and Sandy Smith, Electrotek Concepts, "Using Web-based Power Quality Monitoring for Problem Solving and Improving Customer Relations", proceedings of the 4 th Annual Latin American Power 99 Conference, Jun. 29, 1999, pp. 263-271.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicants have attempted to provide the earliest known publication date for each reference, however, these documents may have existed prior to the stated date. For those references whose earliest publication dates could not be determined, Applicants believe they were published prior to January 9, 2003.

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

This application is a continuation-in-part application of U.S. Serial No. 09/723,564, filed November 28, 2000 and is relied upon for an earlier filing dated under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) only copies of documents not previously cited and submitted to the Patent and Trademark Office in the prior application Serial No. 09/723,564 are enclosed for the convenience of the Examiner.

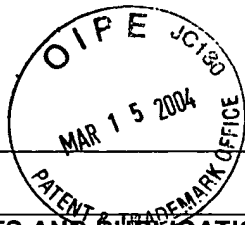
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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FORM PTO-1449	SERIAL NO. 10/752,467	CASE NO. 6270/105
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 5, 2004	GROUP ART UNIT Unassigned
(use several sheets if necessary)	APPLICANT(S): Ransom et al.	

REFERENCE DESIGNATION
U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,589,075	05/13/86	Buennagel	364/492	02/23/83
	A2	4,641,248	02/03/87	Suzuki et al.	364/492	11/16/83
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	A13	5,764,155	06/09/98	Kertesz et al.	340/825.08	04/03/94
	A14	5,862,391	01/19/99	Salas et al.	395/750.01	04/03/96
	A15	5,897,607	04/27/99	Jenney et al	702/62	02/28/97
	A16	6,005,759	12/21/99	Hart et al.	361/66	03/16/98
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	A18	6,088,659	07/11/00	Kelley et al	702/62	05/21/98
	A19	6,118,269	09/12/00	Davis	324/110	04/15/97
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	A24	6,313,752 B1	11/06/01	Corrigan et al.	340/657	05/21/98
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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	SERIAL NO. 10/752,467	CASE NO. 6270/105
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 5, 2004	GROUP ART UNIT Unassigned
(use several sheets if necessary)	APPLICANT(S): Ransom et al.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A36	Questra Applications Summary Data Sheet, pp. 1-2, 07/02/02.
	A37	Tridium™ Vykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.
	A38	Tridium™ Vykon™ Energy "Vykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.
	A39	Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002
	A40	Tridium™ Vykon™ Building "Vykon™ Alarm Service" Product Data Sheet, pp. 1-2, 06/20/02.
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FORM PTO-1449	SERIAL NO. 10/752,467	CASE NO. 6270/105
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 5, 2004	GROUP ART UNIT Unassigned
(use several sheets if necessary)		APPLICANT(S): Ransom et al.

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	A78	NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address http://www.netsilicon.com/EmbWeb/products/netarm.asp , pp. 1-2, Copyright© 2001 NetSilicon, Inc. Document archived http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.a sp on July 19/2001 on July 19, 2001
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	A97	Axeda DRM System Overview, 2 pages, obtained http://www.axeda.com/solutions/overview.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
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	A101	Axeda DRM Technology Overview, 1 page, obtained http://www.axeda.com/solutions/tech_challenges/standards.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
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